



[2656/2]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : BRANDER et al.
Serial No. : 08/976,159
Filed : November 21, 1997
For : ENHANCED MATCHING APPARATUS AND
METHOD FOR POST-TRADE PROCESSING AND
SETTLEMENT OF SECURITIES TRANSACTIONS

Examiner : Romain Jeanty

Art Unit: : 2765 I hereby certify that this correspondence is being deposited with the
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Date 24 Nov 1999 Atty's Reg. # 33,098

Atty's Signature

MICHELLE M. CARNIAUX
KENYON & KENYON

AMENDMENT AFTER FINAL

Sir:

This paper addresses the Final Office Action dated July 29, 1999. Initially, please amend the above-identified application as set forth herein.

In The Claims:

Please cancel claims 10 and 11, without prejudice.

Please amend claims 4, 9, 14, 29 and 30 as follows:

4. (Amended) The system of claim 1 in which the information in the standing instruction database contains (i) records for [the] internal customer account numbers of the institution's accounts and [the] corresponding internal account numbers used by the broker for those accounts and (ii) a record to link those internal account numbers and if there is a match, the processing computer generates the confirmation by accessing the record that links the internal account number and the database [data based] on those account numbers.